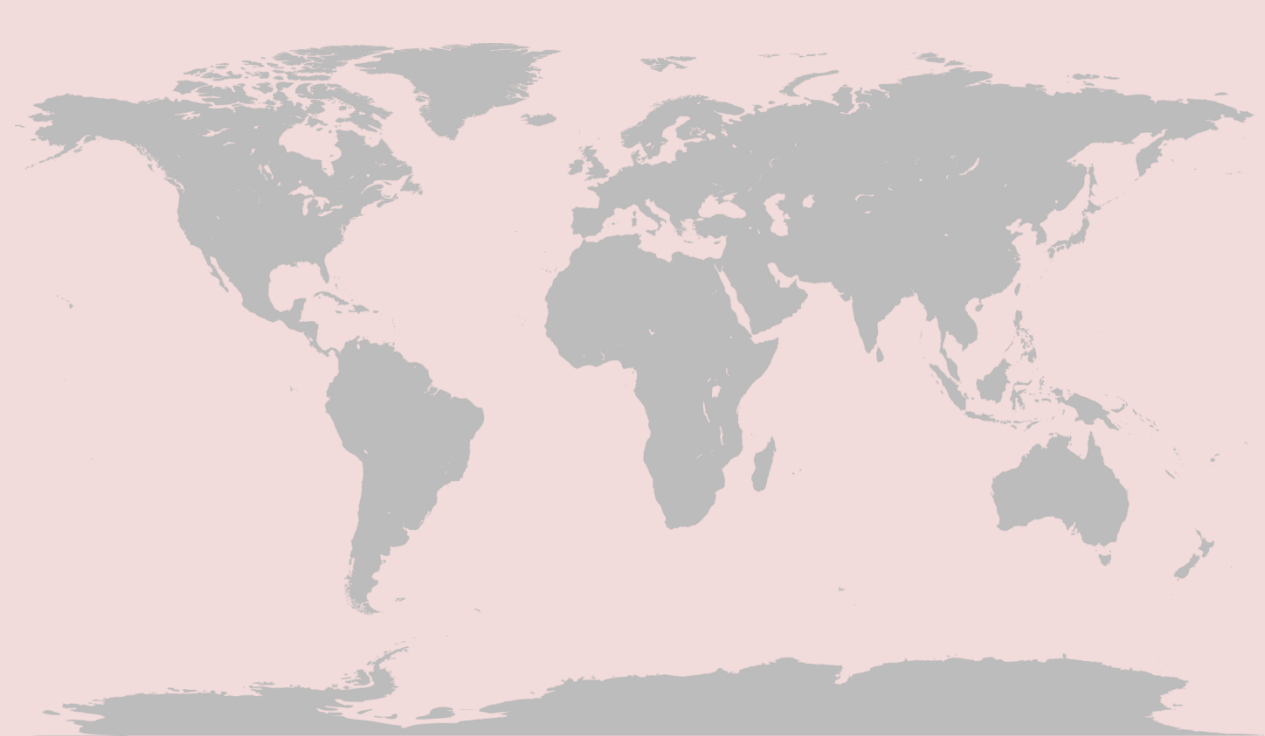


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CONTENTS

Article

120

Effect of Antipsychotic Treatment on S100B and Oxidative Stress in Patients with Schizophrenia

Xuan Wang, Yun Bian, Lei Liu, Yaxue Wu, Fude Yang, Xianyun Li, Xiaolei Han, Li Tian, Xingguang Luo, Song Chen, Zhiren Wang, Yunlong Tan, Yanli Li

Perspective

129

A Pediatrician Opinion on the Need for More Data on Medicine in Pregnancy

Susan P Tansey

Review

131

Gut Microbiota and Antipsychotics Induced Metabolic Alteration

Dong-Yu Kang, Su-Juan Li, Chen-Chen Liu, Ren-Rong Wu

Article

Effects of Antipsychotic Treatment on S100B and Oxidative Stress in Patients with Schizophrenia

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ABSTRACT

Background: The study aimed to examine the antipsychotic treatment effect on the serum S100B and oxidative stress in patients with schizophrenia.

Methods: Subjects consisted of patients with schizophrenia of first-episode drug-naïve and drug-free acute phases, and met the DSM-IV diagnostic criteria for schizophrenia. All patients were treated with risperidone for eight weeks. Positive and Negative Syndrome Scale (PANSS) was evaluated, and serum levels of S100B and parameters of oxidative stress including total oxidative status (TOS) and malondialdehyde (MDA) were measured before and after antipsychotic treatment. A general linear random-effect model was used for data analysis.

Results: Antipsychotic treatment with risperidone reduced the levels of S100B significantly in the first episode drug-naïve patients with schizophrenia (Beta=24.89; $p=0.0087$) and marginally in the drug-free acute phase (Beta=15.65; $p=0.093$), no significant difference in the effect on S100B between patient groups ($p=0.4785$). In contrast, antipsychotic treatment increased the levels of MDA in drug-free acute phase schizophrenia (Beta=-6.55; $p<0.0001$) but not in the first episode drug-naïve patients (beta=-0.57; $p=0.6631$); the effects on MDA were significantly different between two patient groups ($p=0.0020$). We found that the levels of S100B were only associated with the PANSS negative score in the drug-free acute phase patients who were treated with antipsychotics.

Conclusion: Antipsychotic treatment with risperidone reduced the levels of S100B in first-episode, drug-naïve patients with schizophrenia, but may increase the levels of MDA in drug-free acute phase schizophrenia.

KEYWORDS

Antipsychotic treatment, Risperidone, S100B, Oxidative stress, Schizophrenia

INTRODUCTION

Schizophrenia is a chronic mental disorder characterized by delusions, hallucinations, disorganized speech and behavior, and other symptoms that cause social or occupational dysfunction (American Psychiatric Association, 2013). It has a lifetime prevalence of 0.6–1.9% across the world. (1). The etiology of schizophrenia is complex and believed to be multifactorial. Although several etiological hypotheses have been proposed, specific mechanisms are

still not clearly understood. S100B is an attention-attracted pathophysiological factor that may be involved with schizophrenia(2), while the level of oxidative stress has also been a focus lately.

S100B is known to exert both paracrine and autocrine effects on neurons and glial cells(3) and has been postulated to either promote apoptotic phase or be released by astrocytes in an attempt to repair the neurodegenerative process(4). It has therefore been considered as a glial

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marker protein(5-7), even a biomarker of pathophysiology in schizophrenia. Because a risk haplotype at this gene has been identified for the risk of schizophrenia, an increase in *S100B* expression (8) suggests that *S100B* may be a causal link to schizophrenia(9). Recently, studies have shown that blood *S100B* levels in schizophrenia patients are inconsistent. Exploring the association between *S100B* and schizophrenia may have clinical significance.

Oxidative stress may play an essential role in the processes of many diseases, including schizophrenia. In healthy individuals, reactive oxygen species (ROS) and antioxidant system maintained at constant levels and is controlled by enzymatic and non-enzymatic antioxidants. Imbalance resulted from the excess of ROS production or decline in antioxidant levels could lead to a specific condition of oxidative stress that will cause oxidative damage, in particular in the brain, the organ that has high energy demand, a high concentration of polyunsaturated fatty acids and relatively low level of antioxidant defenses(10). Besides, oxidative stress could exert detrimental effects on socio- and neurocognitive abilities in patients with schizophrenia. So antioxidative treatment could be beneficial for individuals with schizophrenia. Oxidative stress is known to be one of the factors that lead to schizophrenia and can be used as a biomarker for disease treatment. Elevated levels of oxidative stress have been found in cerebrospinal fluid (CSF) and prefrontal cortex, *in vivo*. Several studies have documented the changes in oxidative parameters and antioxidant enzymes (catalase, superoxide dismutase, and glutathione peroxidase), but the results were not consistent, or even opposite directions have been reported (10-13).

Given the alterations in *S100B* and oxidative stress in patients with schizophrenia (14) as well as the intrinsic relationship between these parameters and psychopathology symptoms, it would be necessary to examine the antipsychotic effect on both *S100B* and Oxidant status and their association with psychopathology symptoms in patients with schizophrenia and to identify potential biomarkers for schizophrenia. The objective of the present study is to determine whether peripheral levels of *S100B* and oxidative stress parameters are different after treated with antipsychotics and whether the change in *S100B* and oxidative stress is associated with antipsychotic treatment response.

METHODS

Patients

All patients with schizophrenia were recruited from the inpatient units of Beijing HuiLongGuan Hospital, a Beijing-city owned psychiatric hospital and affiliated with Peking University. Patients comprised of first-episode drug-naïve patients and drug-free acute phase patients, who met the DSM-IV criteria for schizophrenia. All patients had received dietetically balanced hospital meals. Exclusion criteria included the presence of any comorbid psychiatric dis-

order, severe systemic or neurologic illness, pregnancy, substance abuse or addiction, and structural brain changes apparent in magnetic resonance imaging (MRI) scan.

All subjects provided informed consents, and the study was approved by the Institutional Review Board of Beijing HuiLongGuan Hospital. All patients in both groups were treated with risperidone at the regular dose.

Clinical evaluation

Two psychiatrists, who had attended the same training session for PANSS evaluation, assessed the psycho-pathology symptoms in patients by using the Positive and Negative Syndrome Scale (PANSS). Repeated assessments of PANSS scores were performed at baseline and 8-week after antipsychotic treatment.

Measurement of oxidative stress and *S100B*

Venous blood was collected from the forearm vein of the patients before and 8-week after antipsychotic treatment. Plasma was separated, aliquoted, and stored at -70 °C before use. Biochemical analysis was performed by a technician who was blinded for the clinical status of the subjects. *S100B* and oxidative stress parameters including the total oxidants (TOS) and Malondialdehyde (MDA) were measured in the hospital laboratory center using commercially available ELISA kits from Leadman (Beijing Leadman Biotechnology Co. Ltd., Beijing, China) and an automatic biochemistry analyzer AU2700 (Olympus, Japan).

Statistical analysis

Demographic and clinical variables of patients were described by the first episode drug-naïve and drug-free acute phase group. General linear regression analysis was performed to examine the effect of antipsychotic treatment on the levels of *S100B* and oxidative stress measured by TOS and MDA, and on the improvement of psychopathology, measured by the PANSS positive, negative and general psychopathology as well as the total score. The analysis was performed while adjusted for age, sex, education, duration of illness, and age of onset. SAS 9.4 was used for all statistical analysis. Two-tailed significance values were used, and the threshold level for significance was set at 0.05.

RESULTS

Demographics and baseline characteristics of patients with schizophrenia

Table 1 presents the demographics of the first episode drug-naïve and drug-free acute phase patients with schizophrenia. The mean age was 27.83 (range from 19 to 52) and 26.34 (range from 18 to 48) years for the first episode drug-naïve and drug-free acute phase groups, respectively, and 60% of the patients were males. The mean duration of illness was 28.16 months for the first episode drug-naïve group and 48 months for drug-free acute phase patients. There was no significant difference in education, MCCB total score, or the age of onset ($p>0.05$).

Table 1. Demographics of the first episode drug-naïve and drug-free acute phase patients with schizophrenia

	First episode drug-naïve group (N=29)					Drug-free acute phase (N=29)				
	Mean	Med	SD	Min	Max	Mean	Med	SD	Min	Max
Age (year)	27.83	27	7.53	19	52	26.34	24	7.61	18	48
Sex (male=1)	0.59	1	0.50	0	1	0.62	1	0.49	0	1
Duration of illness(month)	28.16	20	27.87	1	98	48.00	36	49.11	3	193
Education (years)	12.66	12	2.92	4	19	12.48	12	2.31	8	16
Age of onset (years)	25.37	24	7.09	17	51.61	22.67	22	5.51	14	34.5
PANSS Positive	24.86	24	5.15	17	34	21.52	20	6.25	12	34
PANSS Negative	21.76	22	5.44	9	37	22.55	21	4.88	15	32
PANSS General	40.97	40	5.77	30	52	35.76	35	6.32	26	50
PANSS total score	87.59	87	11.70	68	117	79.83	79	13.00	59	106
MCCB total score	45.56	46	11.26	25	71	44.65	44	11.07	26	66
S100B	234.91	239.1	79.33	136.9	406.4	210.2	203	72.53	97.08	373.6
Total Oxidant status	8.83	7.69	2.89	5.51	15.96	10.08	9.94	2.02	6.46	14.58
Malondialdehyde	8.35	5.74	5.69	3.64	25.62	8.06	7.04	4.48	4.05	24.81

Antipsychotic effect on psychopathology symptoms

Psychopathology symptoms improved significantly after eight weeks of antipsychotic treatment (Table 2). In the first episode, drug-naïve patients, PANSS positive symptoms decreased most significantly from 24.86 to 12.26 ($p<0.01$), with an improvement by more than 50%. We also noted that the PANSS negative score decreased from 21.76

to 15.56, PANSS general score from 40.97 to 26.04, PANSS total score from 87.59 to 53.85. All changes were statistically significant ($p<0.001$). Comparatively, the symptom improvements were less in the drug-free acute phase patients, likely due to the relatively lower levels of symptom score at the baseline, but they were still significant ($p<0.01$).

Table 2. Summary of psychopathology symptoms before and after treatment in both first-episode drug-naïve and drug-free acute phase patients with schizophrenia

	Before treatment					After treatment					P
	Mean	Med	SD	Min	Max	Mean	Med	SD	Min	Max	
First episode drug-naïve											
PANSS positive	24.86	24	5.15	17	34	12.26	11	4.83	7	23	<0.001
PANSS negative	21.76	22	5.44	9	37	15.56	16	5.04	8	25	<0.001
PANSS general	40.97	40	5.77	30	52	26.04	27	5.28	17	36	<0.001
PANSS total score	87.59	87	11.70	68	117	53.85	56	12.78	33	77	<0.001
Drug-free acute phase											
PANSS positive	21.52	20	6.25	12	34	11.97	11	4.25	7	21	<0.001
PANSS negative	22.55	21	4.88	15	32	17.41	17	5.76	7	30	<0.001
PANSS general	35.76	35	6.32	26	50	26.24	26	5.79	19	39	<0.001
PANSS total score	79.83	79	13.00	59	106	55.62	55	14.04	35	86	<0.001

P, P-value for testing the difference while adjusting for age, sex, education, duration of illness, and age of onset.

Antipsychotic effect on protein S100B and oxidative stress

Table 3 shows the effect of antipsychotic treatment on the levels of protein S100B and oxidative stress. As a result, antipsychotic treatment for eight weeks significantly reduced the level of S100B (Beta=24.89; $p=0.0087$) in the first episode drug-naïve patients with schizophrenia and exhibited a marginal effect on the levels of S100B (Beta= 15.65; $p=0.0929$) in the drug-free acute phase patients with schizophrenia. While the later was at a marginal significance, there was no difference in the effect between patient groups ($p=0.4785$). When combining two groups, the antipsychotic treatment had a more significant effect on the S100B (Beta=20.27; $p=0.0026$).

Interestingly, we observed a slightly different pattern of antipsychotic treatment effect on two parameters of oxidative stress between two different groups of patients. The

treatment showed no significant effect on TOS in either of the two groups ($p>0.1$). However, antipsychotic treatment slightly increased the level of TOS in the first episode, drug-naïve patients (Beta=-1.08; $p=0.1055$) but not in the drug-free acute phase patients (Beta=-0.73; $p=0.2683$). Conversely, the antipsychotic treatment significantly increased the levels of MDA in the drug-free acute phase patients (Beta=-6.55; $p<0.0001$). The mean of MDA increased by more than 80% after antipsychotic treatment (LS mean =14.38) compared to the mean of 7.83 before treatment in the first episode drug-naïve group. However, there had little influence on MDA in the first episode drug-naïve patients with schizophrenia (Beta=-0.57; $p=0.6631$).

The effects were significant between two groups as there was a significant interaction ($p=0.0020$) between two groups on the increase in the levels of MDA. The analysis of log-transformed TOS and MDA showed mostly consistent results.

Table 3. The effect of antipsychotic treatment on the levels of S100B and oxidative stress in first-episode drug-naïve and drug-free acute phase patients with schizophrenia

Patient group	LS Mean		Beta	SE	DF	t Value	P	P_int
	Before	After						
S100B								
First episode drug-naïve	239.05	214.16	24.89	9.16	56	2.72	0.0087	0.4785
Drug-free acute phase	207.56	191.91	15.65	9.16	56	1.71	0.0929	
Total oxidants (TOS)								
First episode drug-naïve	8.66	9.73	-1.08	0.65	56	-1.65	0.1055	0.7107
Drug-free acute phase	10.11	10.84	-0.73	0.65	56	-1.12	0.2683	
Malondialdehyde (MDA)								
First episode drug-naïve	8.53	9.10	-0.57	1.30	56	-0.44	0.6631	0.0020
Drug-free acute phase	7.83	14.38	-6.55	1.30	56	-5.02	<.0001	

P_int, the interaction between the patient group and treatment.

Association between serum parameters and psychopathology symptoms

We also performed an analysis to examine whether the levels of S100B and oxidative stress would affect the psychopathology symptoms in the patients treated with antipsychotics (**Table 4**). Although the level of protein S100B significantly reduced after antipsychotic treatment in the first episode drug-naïve patients, as shown above, no significant association of S100B with psychopathology symptoms of all three sub-clusters or total PANSS scores ($p>0.1$). Instead, the levels of S100B were associated with

the improvement of PANSS negative (Beta=0.0397; $p=0.0039$) in the drug-free acute phase patients with schizophrenia.

While the antipsychotic treatment had no significant effect on TOS in either of the groups, as shown above, the TOS was associated with PANSS positive (Beta=0.535; $p=0.0283$) and PANSS total (Beta=1.434; $p=0.0238$) in the first episode drug-naïve patient. However, no clear association appeared between changes in MDA and PANSS symptom ($p>0.05$).

Table 4. Association of S100B and oxidative stress with psychopathology symptoms in both groups of schizophrenia patients

	S100B			TOS			MDA		
	Beta	SE	P	Beta	SE	P	Beta	SE	P
Drug naïve first episode									
PANSS positive	0.0115	0.0099	0.2554	0.5352	0.2299	0.0283	0.1228	0.1112	0.2797
PANSS negative	0.0048	0.0119	0.6934	0.3059	0.2487	0.2301	0.1324	0.1247	0.2984
PANSS general	0.0105	0.0123	0.4026	0.5316	0.2709	0.061	0.2279	0.1299	0.0917
PANSS total	0.0259	0.0259	0.3258	1.4338	0.5958	0.0238	0.5418	0.2776	0.0622
Drug-free acute phase									
PANSS positive	0.0095	0.0144	0.5121	0.2801	0.2613	0.2933	0.0346	0.1091	0.7535
PANSS negative	0.0397	0.0126	0.0039	0.1429	0.2633	0.5918	0.0445	0.1088	0.6859
PANSS general	0.0105	0.0123	0.4026	0.5316	0.2709	0.061	0.2002	0.1253	0.1216
PANSS total	0.0575	0.035	0.1117	0.1431	0.6811	0.8351	0.205	0.2797	0.47

DISCUSSION

In this study, we found that antipsychotic treatment reduced the levels of S100B in serum significantly in the first-episode drug-naïve but marginally significantly in drug-free acute phase patients with schizophrenia. The antipsychotic treatment had no significant effect on total oxidant status in either of two groups, but it significantly increased the serum level of MDA in the drug-free acute phase only. We noted that S100B was positively associated with the antipsychotic response of PANSS negative in the drug-free acute phase, but TOS had a positive association with PANSS

positive and total score in first-episode drug-naïve patients.

S100B, a calcium-binding protein, is mainly synthesized by and released from astrocytes and oligodendrocytes(15, 16), and can pass the blood-brain barrier(17, 18). Reported, its peripheral levels correlate well with those in the central nervous system extensively investigated in psychotic disorders (4, 19). This protein has been considered as a surrogate marker for brain and astrocyte-specific damage or dysfunction in neurologic disorders such as stroke and traumatic brain injury (15, 16, 20). Reports on S100B levels in schizophrenia patients have been inconsistent. Accurately, some reported no differences in S100B bet-

ween schizophrenia patients and healthy controls (21, 22), while others reported an increased (23) or decreased S100B (16) in patients with schizophrenia compared with healthy controls. Both the first-generation antipsychotic drug (haloperidol) and the second-generation drug (risperidone) are shown to inhibit interleukin-6-induced S100B secretion in C6 glioma cells (24). Another study also supports that S100B levels reduced during convalescence from acute paranoid schizophrenia that is regulated by its scavenger, a soluble receptor for advanced glycation end products (RAGE)(25). Previous studies of patients with the negative symptom or deficit schizophrenia find that the reduced levels of S100B are associated with negative symptom in patients treated with antipsychotics for six weeks (26). These are mostly consistent with our findings that the levels of S100B positively associated with PANSS negative score after antipsychotic treatment for eight weeks.

However, there are inconsistent reports that antipsychotic treatment leads to an increased level of serum S100B in early treatment(20), medicated and unmedicated patients (27), and older patients (>50 years) with long-term treatment of clozapine and typical antipsychotics. There was no association between S100B and PANSS, which was similar between atypical and typical drugs (28). These contradictory seemed to have some underlying explanation. Most antipsychotics such as clozapine and olanzapine have a side effect such as weight gain and impaired glucose tolerance, which may contribute to the elevated level of S100B (29, 30). While the treatment with anti-psychotics may reduce the psychotic symptoms and S100B levels simultaneously, the improvement of psychotic symptoms could not be determined by the level change of S100B, and no correlations have been detected for S100B levels with PANSS total, positive, negative, or general scores (21, 25, 28). This suggests that antipsychotic drugs could improve symptoms in patients with schizophrenia through other mechanisms rather than S100B, although the level of S100B was also affected by antipsychotic treatment. Moreover, two recent meta-analyses found no differences in S100B concentrations between medicated and non-medicated patients (31, 32). These inconsistencies could be due to the relatively small sample size or clinical heterogeneity of the patients with schizophrenia.

Recent data suggest a convergence of redox dysregulation and oxidative stress in promoting the emergence of psychosis. Many studies have identified that patients with schizophrenia increase in oxidative stress in the blood plasma, cerebrospinal fluid (CSF) and postmortem samples, including increased lipid and protein oxidation and alterations in antioxidant defense systems, such as catalase and superoxide dismutase (33-37). In contrast, a study showed that antipsychotic treatment reduced the index of oxidative stress, including the total level of peroxides in the first episode drug-naïve patients treated with olanzapine and risperidone for three months (38). Anti-psychotics do not have a significant effect on the oxidative and antioxidant system parameter after treatment for six weeks (39). We noted limited evidence that risperidone antipsychotic treatment affects oxidative stress and their associations with PANSS symptoms. In this study, the levels of

TOS had no significant change before and after antipsychotic treatment in both groups of patients but were associated with PANSS positive and total scores only in the first episode drug-naïve patients with schizophrenia.

In healthy individuals, oxidant and antioxidant systems are maintained at constant levels and balanced, and such a balance could be disturbed in patients with schizophrenia. A significant association between antioxidant enzyme levels and clinical features of schizophrenia has been previously reported in several studies (26, 40-48). For instance, abnormalities in the antioxidant system lipid peroxidation have been associated with negative(43)and positive symptoms(47). However, most studies did not identify a significant association between oxidative stress biomarkers and clinical severity among chronic schizophrenia patients or first-episode psychosis (49-52). For drug-free acute phase patients with schizophrenia, there was no change in TOS levels before and after treatment or no correlation between TOS levels and PANSS scores, which perhaps is confounded by medication history in the non-acute phase.

Malondialdehyde (MDA) is deemed as another parameter of oxidative stress, representing the level of Lipid peroxidation (LPO). So LPO on erythrocyte membranes was assessed through determining the levels of reactive aldehyde MDA, an end product of lipid peroxidation cascade(53). In the current study, MDA levels increased in the drug-free acute phase patients but did not in the first episode drug-naïve ones; MDA has no medication effect on psychopathology symptoms in all patients after treatment. Such a phenomenon might be explained by the fact that antipsychotics leads to stress, although the previous study based on small patients showed that treatment with typical antipsychotics increased the level of MDA(54). While LPO levels seemed to be lower in patients showing higher severity of negative symptoms, according to both PANSS and BNSS scales(55), the majority of relevant studies failed to replicate any correlation between MDA and clinical features(36).

Lacking association of antipsychotics treatment with the oxidative stress parameters and oxidative stress parameters with PANSS symptoms could be caused by complicated reasons. *First*, the therapeutic actions of antipsychotic drugs are heterogeneous. For example, antipsychotics may have different antioxidant and oxidant properties. Typical antipsychotics were likely to have a higher level of the neurotoxicity, which may be through the lipid peroxidation which induced oxidative injury in the brain and led to the development of extrapyramidal symptoms including tardive dyskinesia(56). Even among the atypical drugs, there were marked differences in the induced oxidative stress. Clozapine was shown to have higher antioxidant properties than risperidone and perphenazine, especially increasing the levels of antioxidants (e.g., superoxide dismutase, SOD; glutathione, GSH) and reducing levels of lipid peroxidation in patients with schizophrenia (57); olanzapine and clozapine may increase antioxidant activities, which may contribute to therapeutic actions (58). Unfortunately, we did not measure the antioxidants in this study.

Also, the alteration of a single oxidative stress parameter maybe not representative for the anti-psychotic effect, which may be determined by the balance of oxidant and antioxidant systems at a constant level.

Given that, an increase in oxidative stress may be detrimental to cognition (59), which, in the long run, may affect the prognosis of patients with schizophrenia. Therefore, it is crucial to keep oxidative stress under control for patients treated with antipsychotics. It will be an interesting topic to see if antipsychotics plus antioxidant supplement would increase the therapeutic efficacy in patients with schizophrenia.

Limitation and strength

Several limitations of this study should be noted. The number of enrolled patients may not provide enough power for this type of study. In addition, we only measured two parameters of oxidative stress, which may not be able to assess the ultimately oxidative stress system. Oxidative and anti-oxidative parameters should be included simultaneously in the analysis. Despite those limitations, some strength in the current study was noteworthy. The patients were from inpatient units and stayed in the hospital throughout the treatment. Despite a relatively short period of patients' hospitalization, they acquired good clinical improvement.

In summary, after antipsychotic treatment, while the levels of S100B decreased in both groups. S100B had no significant meditational effect on psychopathology symptoms in the first episode drug-naïve patients, but on PANSS negative symptoms in the drug-free acute phase patients with schizophrenia. However, the level of MDA increased in drug-free acute phase schizophrenia patients but not associated with psychopathology symptoms. These findings warrant a further study in a larger sample size.

CONFLICT OF INTERESTS

The authors reported no biomedical financial interests or potential conflict of interest regarding the publication of this paper.

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Perspective

A Pediatrician's Opinion on the Need for More Data on Medicines in Pregnancy

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The safety of medicines in pregnancy and the neonatal period cannot be taken into consideration in isolation. Medicines given in pregnancy, as we all know can produce adverse events that only become apparent in the neonate/baby at a much later stage, e.g. diethylstilboestrol and clear cell adenocarcinoma of the vagina. In this historical case, the medicine was given to prevent or treat pregnancy-related problems (such as miscarriage), but medicines may also be needed for non-pregnancy related reasons in women of child-bearing age who either are pregnant or could become pregnant. Of course, it is also important to take into account the maternal and obstetric medical history when administering an investigational medicine to a neonate in order to be able to fully assess the risks and benefits of that medicine and the causality of any adverse event that occurs.

During pregnancy, the women's body undergoes many physiological changes, which makes it particularly important to study the pharmacokinetics of medicine during pregnancy since the clearance and thus the drug levels in the bloodstream are not necessarily the same as they would be in a non-pregnant woman. It is also important to consider clinical trials looking at the level of drug in cord blood at birth and/or the placenta. Similarly, a premature neonate at different gestations will have a different body composition from a term baby, and this will change as the baby develops.

As a pediatrician, one of the first times I was introduced to the close interplay between obstetrics and neonatal research was when I was the research fellow on an antenatal trial in the mid-1990s(1). This was a trial looking at the use of antenatal Thyrotrophin Releasing Hormone (TRH) to try and reduce the incidence of respiratory distress syndrome in premature neonates. I was in the privileged position of being the only pediatrician working in the University of Liverpool Obstetric Department as there was a need to ensure data on the babies born to the mothers included in the study was collected accurately. Many years later, I also had the pleasure of working closely with obstetricians in an industry-sponsored antenatal trial to try and prevent recurrent miscarriage. In both these cases, I felt it was invaluable to have a neonatology experienced pediatrician involved. By coincidence neither of those studies had a positive outcome, but I think this also emphasized to me that negative trials can be just as important – not least to avoid the use of medicines in pregnancy when there is insufficient evi-

dence of the benefit/risk to justify their use. In this article I am going to focus on the need for more evidence on medicines given in pregnancy and why I feel this is so important.

An area of particular interest for me is the maternal immunization. Up until quite recently, it would not have been considered feasible to conduct randomized controlled trials with an investigational vaccine in pregnant women. However, the worldwide H1N1 flu pandemic in 2009 led to the recognition that there was a high rate of hospitalization and some deaths when influenza was contracted in pregnancy (2). Subsequently, there was a change in perception concerning the vaccination of pregnant women against influenza, and the current recommendations by the Center for Disease Control and Prevention in pregnancy are for both influenza and Tdap (tetanus, diphtheria and acellular pertussis) vaccinations to be given during pregnancy since the benefits outweigh the risks(3).

In addition, there are currently several investigational vaccines in development for maternal immunization. These include vaccinations to protect against Respiratory Syncytial Virus and neonatal Group B streptococcal infections. These two infections account for much morbidity and mortality in the neonatal period globally, and their prevention would be welcomed by neonatologists and obstetricians alike. Benefits could include not only the reduction of these infections in newborn babies but might also help in the fight against antimicrobial resistance, and the term preventative neonatology has been applied to refer to this type of intervention(4).

An interesting recent target for vaccination is the Zika virus. Although the main aim of immunization would be to reduce the serious impact of maternal infection on the developing fetus, the target population, in this case, would be adolescent girls so that they are protected in advance of a pregnancy. It is the most effective way of prevention since the harmful effects of the Zika virus occur very early in pregnancy. There are several Zika vaccines currently in development (5).

Whereas in the past, the approach has been to avoid all medicines in pregnancy, there is increasingly a recognition that there are several situations where it is either essential or desirable to give medicines during pregnancy. This includes situations where the mother has epilepsy when the risk of fits in pregnancy will often

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outweigh the risk of taking medication for pregnant women or those planning a pregnancy. Medication during pregnancy may also be needed in other conditions such as cystic fibrosis, multiple sclerosis, or in HIV infected mothers, where there may also be risks to the unborn child of transmission of infection.

As an example, sodium valproate has been used for many years in the treatment of epilepsy and although some of the adverse effects were well known, the full extent of the risks to the unborn child when it is taken in pregnancy have only recently been fully acknowledged and addressed (6). Although for epileptic women planning a pregnancy there are other anti-epileptics drugs (AEDs) available, the dilemma for a doctor considering changing anti-epileptic medication (apart from the risk of a change in fit frequency) includes the lack of data for use in pregnancy for many of the other AEDs. In many cases, the data comes from registries where there can be difficulties interpreting the data because of the problem to find an appropriate comparator group (which should ideally be untreated epileptic mothers and their babies), and lack of data on terminations carried out for fetal abnormalities, etc. A recent publication (7) presented a descriptive drug utilization study covering a 10-year period (1st Jan 2007 – 31st December 2016) of anti-epileptic prescribing in 3 European countries (Italy, France, and the UK). This study found that of the pregnant women prescribed AEDs (incidence between 3 and 7.8 per 1000 pregnancies), there was a slight decrease in valproate prescriptions over the study period. About a third of the women in the UK and France were on lamotrigine. Worryingly there was an increase in prescriptions of gabapentin and pregabalin in pregnancy where the risks to the embryo or fetus are not well known.

Similarly, the MHRA (Medicines & Healthcare products Regulatory Agency) recently published a statement on the use of Fingolimod (a disease-modifying treatment for multiple sclerosis) in pregnancy advising against use in pregnancy and in women trying to get pregnant due to the increased risk of major congenital malformations(8). However, there is limited data available on other disease-modifying agents in pregnancy.

These 2 cases illustrate the need to investigate not only new investigational products that are anticipated to be prescribed in pregnancy and/or women of childbearing potential but also to review the evidence available for older medications such as sodium valproate and consider whether new trials should be conducted. Clinical trials may not always be feasible, so other ways to collect evidence for use in pregnancy may need to be considered, e.g. registries or real-world studies (recognizing the limitations that were mentioned earlier). The Food and Drug Administration (FDA) has a list of pregnancy exposure registries available on its website (9).

To emphasize the need to include pregnant women in clinical trials, the FDA has published draft Guidance in 2018 (10). This document mentions the need to focus on medication indicated for conditions that occur commonly in women of childbearing potential and the guidance

points out that currently most labeling information for pregnant women is based on nonclinical data with limited human safety data. We have seen through the examples I mention, the potential consequences for mothers and babies if we fail to include pregnant women in clinical trials. I hope that as we have increasingly seen maternal immunization clinical trials being conducted, we will now start to see an increase in clinical trials of new medicines in pregnancy. This should enable an adequate assessment of the benefit/risk to be made available for physicians and women planning a pregnancy and result in better outcomes for mothers and babies.

CONFLICT OF INTEREST

The authors declare that there is no conflict of interest regarding the publication of this paper

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Review

Gut Microbiota and Antipsychotics Induced Metabolic Alteration

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ABSTRACT

Schizophrenia is a chronic and severe mental disorder with antipsychotics as primary medications, but the antipsychotics-induced metabolic side effects may contribute to the elevated risk of overall morbidity and mortality in patients with psychiatric diseases. With the development in sequencing technology and bioinformatics, dysbiosis has been shown to contribute to body weight gain and metabolic dysfunction. However, the role of gut microbiota in the antipsychotics-induced metabolic alteration remains unknown. In this paper, we reviewed the recent studies of the gut microbiota with psychiatric disorders and antipsychotic-induced metabolic dysfunction. Patients with neuropsychiatric disorders may have a different composition of gut microbiota compared with healthy controls. In addition, it seems that the use of antipsychotics is concurrently associated with both altered composition of gut microbiota and metabolic disturbance. Further study is needed to address the role of gut microbiota in the development of neuropsychiatric disorders and antipsychotic-induced metabolic disturbance, to develop novel therapeutics for both neuropsychiatric disorders and metabolic dysfunction.

KEYWORDS:

Gut microbiota, Neuropsychiatric disorder, Metabolism, Antipsychotics

INTRODUCTION

Since microbes have existed hundreds of millions to billions of years, multicellular animals including humans arose in a microbiologically complex environment. However, not until recent decades have we started to appreciate the fact that we harbor at least 100 trillion microbial cells (1), far more than the number of human cells in the body. The microbes that reside in and on the human body all together constitute our microbiota and the collection of genes they encode are named as the microbiome (2). The human gut microbiota is dominated by two bacterial phyla, *Bacteroidetes* and *Firmicutes* (3). Though many factors could affect microbial composition in the human gut, it is surprisingly stable at the phylum level (4). However, when the compositions of genera and species were considered, the inter-individual variation was relatively higher (5).

Along with the development in sequencing technology and bioinformatics, the more in-depth investigations into the composition and the role of complex microbial ecosystems

in neuroscience have become possible in the recent decade (6). Current researches hypothesize that the gut microbiota interacts with the host through immune, neuroendocrine and neural pathways (7), and gut microbiota can modify the synthesis of key metabolites that affect the gene expression in the prefrontal cortex and then modulate social behaviors (8). The microbiota-gut-brain communication might modulate brain development, function and even behaviors, and therefore therapeutics target at the microbiota-gut-brain could provide effective treatment for psychiatric disorders.

Antipsychotics are widely used to treat schizophrenia and bipolar disorder, but some of these drugs commonly cause metabolic side-effects, including the increased incidence of obesity, diabetes, and metabolic dysfunction, which contribute to the risk of overall morbidity and mortality (9). Accumulating evidence shows an increased prevalence of obesity and metabolic dysfunction such as impaired fasting glucose and insulin resistance in drug-naïve first-episode

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patients with schizophrenia, suggesting a possible co-morbidity of metabolic dysfunction in patients with schizophrenia (10). Metabolic complications such as weight gain could be distressing(11) and has become a significant concern in selecting medications for individuals with psychotic diseases for it is often associated with a poorer functional outcome(12), reduced quality of life(13), and likelihood of discontinuing antipsychotic medications (14). The mechanism of antipsychotic-induced metabolic dysfunction remains unknown. Despite that, previous studies have indicated some possible pathways that might contribute to antipsychotic-induced metabolic dysfunction.

The use of antipsychotics can significantly increase appetite (15). The central and peripheral mechanism for regulating hunger and satiety plays a vital role in the maintenance of energy homeostasis, which involves gut hormones such as glucagon-like peptide 1(GLP-1), peptide YY (PYY), and ghrelin, and adipokines such as leptin (16) and adiponectin (17). Gut hormones are a group of hormones secreted by enteroendocrine cells in the stomach, pancreas, and small intestine that control various functions of the digestive organs. For example, GLP-1 increases insulin secretion; PYY inhibits food intake; ghrelin stimulates appetite and increases gastric emptying. Adipokines are cytokines secreted by adipose tissue (18). As adipokines, leptin helps regulate energy balance by inhibiting hunger, which in turn reduced fat storage in adiposities, while adiponectin is involved in regulating the levels of glucose and fatty acid breakdown. Those peripheral signals were integrated into the arcuate nucleus of the hypothalamus, which contains orexigenic neuropeptide Y (NPY) neurons and anorexigenic pro-opiomelanocortin (POMC) (19).

The antipsychotic medications may decrease the resting energy expenditure in youth (20) and adults (21). Resting energy expenditure or fat-free mass ratio is significantly increased and correlated with the weight gain during the follow-up of adolescents who took antipsychotics (22). However, after adjusting the energy expenditure for fat-free mass, there is no significant difference for individuals with schizophrenia and healthy controls, suggesting that the different body composition and impaired capacity to use fat to generate energy may play a vital role in developing weight gain (23). Besides, many studies have shown that antipsychotic medications could induce a significant risk of insulin resistance, glucose dysregulation, and the development of type 2 diabetes (9). Individuals with psychiatric disorders like drug-naïve first-episode schizophrenia are reported to have an elevated risk for diabetes before using antipsychotics, suggesting a possible common underlying schizophrenia and metabolic dysfunction (24).

A recent study by Maier et al. suggests that almost one-quarter of non-antibiotic drugs used in humans, mainly antipsychotics, have antimicrobial activity, which may cause an imbalance to the gut microbiota ecosystem (25). Meanwhile, dysbiosis may contribute to bodyweight alterations and metabolic dysfunction (26). This may provide a new avenue for understanding the mechanism of antipsychotic-induced metabolic disturbance. Therefore, we prepared this review to summarize recent findings in both clinical trials

and animal studies linking gut microbiota, psychotic disorders, and antipsychotic-induced metabolic alterations.

GUT MICROBIOTA AND PSYCHIATRY DISORDERS

Altered composition of gut microbiota has been found in patients with schizophrenia (27), autism spectrum disorder (ASD) (28), bipolar disorder (29), and major depressive disorder (30), which have been considered major mental health disorders (31), with a peak age of onset in children, adolescents and younger adults. Emerging research indicates implicated that the gut microbiota plays a crucial role in the development of the nervous system, neuropsychiatric disorders, and drug response (32). However, the pathophysiological link with brain disorders has yet to be established. There are several bidirectional pathways through which the gut microbiota might affect the brain function(28), which may be the potential underlying mechanism of several neuropsychiatric disorders.

Microbiota-gut-brain-axis: communication between gut and brain

One critical pathway is the communication between the gut microbiome and the host immune system (33). The gut microbiota might trigger the production of various immune-related cytokines that could media signaling pathway (34) and is also related to the pathogenesis of inflammatory bowel disease (IBD) (35). Meanwhile, gut microbiota could communicate with the nervous system through production of neurotransmitters (Figure 1). For example, gut microbiota such as *Lactobacillus(L.) brevis* and *Bifidobacterium(B.) dentium* have been reported to produce gamma-aminobutyric acid (GABA) that might affect GABAergic neurotransmission (36), and another group of neurotransmitters such as norepinephrine, and dopamine, which has been linked to schizophrenia(37). Also, *L. reuteri* could produce histamines, which are linked to major depression and cognitive function (38).

Gut microbiota could directly communicate to the central nervous system to maintain a sense of homeostasis in the physiological condition through the vagus nerve in the human body (39). The beneficial effects of *L. rhamnosus* chronic treatment were not found in vagotomized mice, which may indicate the vagus as a significant modulatory pathway between gut microbiota and the brain (40). The endocrine signaling pathway may be also involved in the communication between brain and gut microbiota. Previous research reported that prebiotic treatment significantly changed the composition of gut microbiota in healthy adults, and increased the plasma concentrations of GLP-1 and PYY, which participated in appetite and glucose excursion responses after meal (41). Another focus of recent studies is that the gut microbiota metabolized short-chain fatty acids (SCFAs). Sun et al. reported that microbiota regulated Th1 cell functions to maintain intestinal homeostasis through gut microbiota-derived SCFAs (42). SCFAs produced by gut microbiota also links metabolic activity of the gut microbiota with host body energy homeostasis and inhibit fat accumulation in adipose tissue (43).

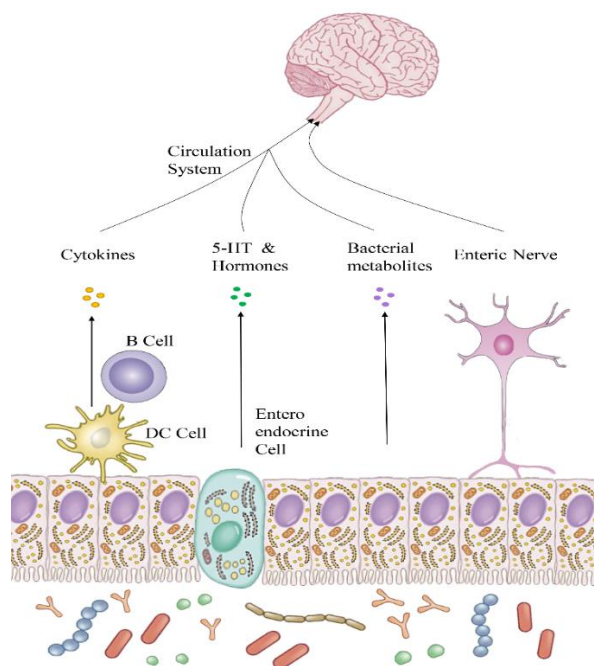


Figure 1. The various known bidirectional-signaling pathways between the gut microbiota and the brain, including immune, neuroendocrine and neural pathways. DC cell, dendritic cell; 5-HT, 5-hydroxytryptamine.

Schizophrenia

The increased morbidity of IBD and gastroenterology issues have been noted with psychiatric disorders and schizophrenia (44, 45). This association suggests that there is a plausible basis for hypothesizing that schizophrenia and microbiota have a functional relationship. Recently, more studies have been focusing on the link between schizophrenia and microbiome (46, 47). For instance, the higher rate of IBD may be related to the immune origins of schizophrenia (48). One preliminary study found an altered composition of microbiota in patients with first-episode schizophrenia compared with age-matched healthy controls.

Meanwhile, reduced numbers of *Lactobacillus* and *Bifidobacterium* species may be correlated with the severity of negative symptoms and less likelihood of remission at 12-month follow-up in individuals with first-episode schizophrenia (49). One recent mega-genomic study of 90 drug-free patients with schizophrenia identified 26 schizophrenia-associated bacterial species, in which the discovered genes are enriched in SCFA synthesis, tryptophan metabolism, and synthesis/degradation of several neurotransmitters (50). Germ-free mice given fecal transplants from schizophrenia patients tend to have lower glutamate and higher glutamine and GABA in the hippocampus and display schizophrenia-relevant behaviors (51). However, probiotic supplements had no effects on psychopathological symptoms measured by positive and negative symptom scales (PANSS) in individuals with schizophrenia, though the treatment reduced severe bowel symptoms associated with antipsychotics (52). In addition, one recent systematic review also suggests that based on the current evidence,

there are no significant differences in psychopathological symptoms between the group of patients with schizophrenia given either probiotic supplements and placebo (53).

Bipolar disorder

Yolken et al. reported that individuals hospitalized with acute mania have a significantly increased rate of bacterial infections (54). The hypothesis that gut microbiota related immune activation may contribute to the onset of bipolar disorder has since emerged (55). The difference in gut microbial composition has been associated with bipolar disorder and self-reported burden of diseases. *Faecalibacterium* and an unclassified member of the *Ruminococcaceae* family, both of which belong to phylum *Firmicutes*, are reduced in individuals with bipolar disorder (29). *Faecalibacterium* is a Gram-positive butyrate-producing gut bacterium. Interestingly, both *Faecalibacterium* and *Ruminococcaceae* are relatively decreased in patients with major depressive disorder, and the reduced level of *Faecalibacterium* is correlated to the severity of depressive symptoms as measured by Hamilton's Depression Scale (56). In addition, one recent randomized controlled trial reported that adjunctive treatment with probiotics for 24 weeks was associated with a lower rate of rehospitalization in individuals with mania (57).

Major depressive disorder

One recent large cohort study shows that butyrate-producing *Faecalibacterium* and *Coproccoccus* bacteria are consistently associated with a higher quality of life indicators. While *Dialister* and *Coproccoccus* bacteria were depleted in depression (58), further analysis indicated that microbial synthesis potentials were positively correlated with mental quality of life. Altered hypothalamic-pituitary-adrenal (HPA) axis (59) and elevated levels inflammation (60) may be related to the mechanism of major depressive disorder. However, microbiota could have a significant influence on both HPA axis and inflammation. In 2004, Sudo, N. et al. indicated a direct link between HPA axis and microbiota by showing that an exaggerated corticosterone and adrenocorticotrophin response to stress in germ-free mice (61).

Meanwhile, increased gastrointestinal inflammation is associated with anxiety-like behavior (62) and germ-free or antibiotic treatment reduced anxiety-like behaviors in animal models (63). Several studies have indicated that the alterations in microbiota are related to anxiety and depressive-like behaviors (64). When transplanting human fecal samples from people with major depressive disorder to GF mice, the recipients exhibit depressive-like behaviors compared to controls (65). One study demonstrated that feeding healthy mice with *L. rhamnosus* bacteria could decrease anxiety-like and depressive-like behaviors (40), while in a similar study, the treatment with *B. infantis* bacteria showed reduction in depressive-like symptoms in rats (66). However, the clinical studies of the impact of probiotics on mood disorders are still in the early stages.

Autism spectrum disorder

Li et al. have reviewed recent evidence that gut microbiome may affect the risk of ASD, and gut microbiota plays

an important role in mediating the risk of ASD (67) when individuals are exposed to various adverse environmental factors in particular during prenatal and perinatal period (68). Reports have shown that the gut bacterial communities are different between individuals with ASD and typically developing controls (69, 70). The valproic acid (VPA)-induced rat model of autism presents with gut bacterial dysbiosis similar to that in human autism (71). While studies have been inconsistent, the richness of different species and diversity between ASD and TD controls are repeatedly reported.

In a recent study, Sharon et al. transplanted gut microbiota from human donors with ASD or TD controls into germ-free mice and revealed that colonization with ASD microbiota could induce hallmark autistic behaviors in germ-free mice. Additionally, particular candidate microbial metabolites could improve abnormal behaviors in the BTBR (Black and Tan Brachyury) mice model of ASD. They proposed that gut microbiota regulated behaviors through the production of neuroactive metabolites and thus contributed to the pathophysiology of ASD (72). Given the neurodevelopmental origin of ASD, maternal environment is also of great importance (68). Buffington et al. showed that maternal high-fat-diet-induced dysbiosis negatively affected the offspring's social behavior by altering signaling in the mesolimbic reward system. Interestingly, transferring the microbiota from control mice into offspring of high fat diet-fed mothers completely corrected the impairments in sociability and social novelty (73).

Moreover, a recent meta-analysis of nine studies with 254 patients showed children with ASD tend to have a low percentage of *Akkermansia*, *Bacteroides*, *Bifidobacterium*, and *Parabacteroides*, but a higher rate of *Faecalibacterium* among the detectable bacteria in the gut microflora compared to controls (74).

ANTI-PSYCHOTICS INDUCED WEIGHT GAIN AND GUT MICROBIOTA

The second-generation antipsychotics (SGA) are the mainstream treatment for schizophrenia and bipolar disorder. However, almost all antipsychotics induce weight gain (75, 76), and the risk for type 2 diabetes is significantly elevated in individuals who used antipsychotic medications (77), in particular, olanzapine and clozapine (9). In the early stage of schizophrenia, the risk for cardiovascular disease, diabetes, and pre-diabetes is lower than that in chronic schizophrenia, which is likely due to antipsychotic-induced weight gain (78).

Human-target non-antibiotic drugs such as antipsychotics may have antibiotics-like side effects on gut microbiota. In a systematic screen on 1000-marketed drugs against 40 gut microbial strains in vitro, Maier et al. reported that 24% of non-antibiotics, including all class of human medicines, inhibited the growth of at least one strains, and the chemically diverse antipsychotics were over-represented (25). Antipsychotics may alter composition of gut microbiota and induce body weight gain and metabolic disturbance (79). The potential mechanism of antipsychotic-induced metabolic disturbance could be due to the interactions between

antipsychotics and neurotransmitter receptors, altered eating habits or appetite increase, a different expression of orexigenic and anorexigenic neuropeptides, histamine H1 receptor-mediated hypothalamic AMPK activation, increased blood leptin, ghrelin and pro-inflammatory cytokines (80).

Olanzapine is one of the widely used antipsychotics that may induce the most metabolic disturbance in patients with schizophrenia. Davey et al. reported an increase in *Firmicutes* and a decrease in *Bacteroidetes*, reduced microbiota diversity, and significant weight gain in female Sprague Dawley rats after administered with olanzapine (81). Interestingly, co-administration of antibiotic cocktail in olanzapine-treated rats attenuated body weight gain and metabolic dysfunction (82). However, in germ-free mice, no significant difference in body weight was observed between a high-fat diet and high fat plus olanzapine (83). Microbial colonization of the gut, the olanzapine group gained significantly more weight than did the placebo group. This demonstrates that gut microbiota were necessary and sufficient for the occurrence of olanzapine-induced weight gain.

Risperidone, also a commonly used antipsychotics, may have a significant impact on weight gain due to the suppression of energy expenditure, which correlated with an altered gut microbiome in mice (84) and children (85). Risperidone inhibited the growth of cultured fecal bacteria, particularly those are grown anaerobically rather than aerobically. Transplantation of the fecal phage fraction from risperidone treated mice to naïve recipients caused excess weight gain through reduced energy expenditure (84). A study showed that patients with drug-naïve first-episode schizophrenia treated with risperidone for 24 weeks had a significant increase in body weight and in the numbers of fecal *Bifidobacterium* and *Escherichia coli* but had decreases in the number of fecal *Clostridium coccoides* and *Lactobacillus*, of which only increase in fecal *Bifidobacterium* are associated with the weight gain (86). However, previous study investigating the effect of risperidone on microbiome structure in males indicated a decreased ratio of bacteroidetes to Firmicutes in those chronic treated psychiatric children compared with those antipsychotic-naïve controls (85).

One study suggested that people with bipolar disorder who were treated with SGAs had decreased Simpson diversity and change in specific gut microbiota with significant weight gain compared to those who did not receive a treatment with SGAs (87). So far, most of the studies have focused on olanzapine and risperidone, the two commonly used SGAs with higher efficacy (88) but more likelihood of induced metabolic disturbance. These studies may provide evidence for a clinical translational research in human patients.

PROBIOTIC AND PREBIOTIC AS INTERVENTION

Probiotics

In 1907, Élie Metchnikoff from the Pasteur Institute proposed lactic acid bacteria being beneficial to human health (90). The term "probiotics" which derived from the Greek

word meaning "for life" first appeared in 1974. Its definition evolved over the time, and the latest consensus definition was termed by the Food and Agriculture Organization of the United Nations (FAO) and WHO as "Live microorganisms which when administered in adequate amounts confer a health benefit to the host" (89). In humans, lactic acid bacteria are the most widely used probiotics, and they mainly include *Lactobacillus*, *Enterococcus*, *Pediococcus*, *Streptococcus*, *Lactococcus*, *Leuconostoc*, and *Oenococcus*. Microorganisms claimed with probiotic properties include specific probiotic strains of the following genera of *Lactobacillus*, *Bifidobacterium* (also known as *Lactobacillus bifidus* before 1960), *Saccharomyces*, *Enterococcus*, *Streptococcus*, *Pediococcus*, *Leuconostoc*, *Bacillus*, *Escherichia coli* (91-93), in which *Lactobacillus* and *Bifidobacterium* are the most common in the gut microbiota (94) (Table 1).

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Table 1. The most frequently used microorganisms as probiotics.

<i>Lactobacillus</i>	<i>Bifidobacterium</i>	Other lactic acid or other bacteria*
<i>L. acidophilus</i>	<i>B. animalis</i>	<i>Enterococcus faecalis</i>
<i>L. casei</i>	<i>B. bifidum</i>	<i>Enterococcus faecium</i>
<i>L. crispatus</i>	<i>B. infantis</i>	<i>Escherichia coli</i> Nissle
<i>L. gasseri</i>	<i>B. lactis</i>	<i>Lactococcus lactis</i>
<i>L. reuteri</i>	<i>B. adolescentis</i>	<i>Pediococcus acidilactici</i>
<i>L. rhamnosus</i>	<i>B. breve</i>	<i>Streptococcus thermophilus</i>
<i>L. paracasei</i>	<i>B. longum</i>	<i>Saccharomyces boulardii</i>
<i>L. bulgaricus</i>		<i>Saccharomyces cerevisiae</i>
<i>L. fermentum</i>		<i>Bacillus cereus</i>
<i>L. johnsonii</i>		<i>Bacillus subtilis</i>
<i>L. lactis</i>		
<i>L. plantarum</i>		
<i>L. prausnitzii</i>		

Probiotic supplements have been used in clinical practice for the prevention and treatment of several medical conditions, particularly for gastrointestinal diseases and benefits for general health (95). Different *Lactobacillus* strains can help treat diarrhea and have benefits for people who cannot digest lactose or sugar in milk. *Bifidobacterium* can be found in some dairy products and help ease the symptoms of irritable bowel syndrome (IBS) and some other conditions.

Probiotic supplements can attenuate obesity through members of the genus *Lactobacillus* (96, 97) and *Bifidobacterium* (98, 99). In animal models of obesity, certain distinctive strains of *Lactobacillus* and *Bifidobacterium* species help reducing obesity. One recent review suggests that supplementation of the probiotics mentioned above in mice and rats lead to less weight gain, fat accumulation, and adipose tissue compared to the placebo group (100). Clinically, several strains of *Lactobacillus* showed beneficial effect against metabolic dysfunction. For example, compared with those who received placebo, pregnant women who received *L. rhamnosus* supplements from four weeks before the date of expected delivery to six months of postnatally had a significant modulation of the weight gain in their children during the first few years, based on a follow-up study of the cohort from birth to 10 years (101). *L. gasseri* SBT2055, when administered to obese individuals, can significantly lower abdominal adiposity and body weight in 12 weeks (102). In addition, *B. lactis* HN019 showed a beneficial effect on reducing obesity, blood lipids, and specific inflammatory markers when administered to people with metabolic dysfunction (103). When combined, both species of *Lactobacillus* and *Bifidobacterium* as probiotic yogurt significantly reduced body weight and body mass index (BMI) in individuals with metabolic syndromes (104). Furthermore, *Bacteroides uniformis* (105) and *Akkermansia muciniphila* (106) have been identified as benefi-

cial probiotics for metabolic dysfunction, adipose tissue inflammation, and insulin resistance.

Some studies have shown that *L. plantarum* DSM15313 (107), *B. animalis subsp lactis* BB-12 (108), and *B. M13-4*(109) have excellent properties as probiotics and improves digestion, immunity and gastrointestinal health. *L. plantarum* is a helpful probiotic that can attack pathogenic and harmful bacteria and survives stomach acid with ease; while *B. animalis subsp lactis* BB-12 may have pathogen inhibition, barrier function enhancement, and immune interaction properties, and clinically has demonstrated benefits for gastrointestinal health and immune function.

Probiotics may attenuate symptoms of anxiety and depression, and be beneficial for antipsychotics-induced weight gain. Ryo Okubo et al. reported that the addition of the treatment of *B. breve* A-1 in individuals with schizophrenia could improve anxiety and depressive symptoms, but did not mention the measure of weight (110). Probiotic supplements may help attenuate the gut discomfort in male individuals with schizophrenia (111, 112). One study of mice showed that administering of probiotic mixture VSL#3 could confer a benefit for olanzapine-induced weight gain (113); a randomized clinical trial (RCT) also showed the beneficial effects of probiotics and vitamin D supplements on metabolic profiles and psychopathological symptoms in individuals with chronic schizophrenia (114). More studies are expected to determine the potential effect of probiotics as an add-on treatment for schizophrenia on metabolic profiles and clinical psycho-pathological symptoms.

Prebiotics

The concept of prebiotics was evolving as it was elaborated in the 1990s, and the current definition typically refers to nutrient-rich and nondigestible fibers found in plants and vegetables. They can pass through intestines unchanged,

end up in the deep colon, maintain for a certain period, and can stimulate the growth and activity of health-promoting bacteria that colonize the large bowel (115). Prebiotics found in supplements are usually fibers or starches; common prebiotics include fructo-oligosaccharides (FOS), inulin, galactooligo-saccharides (GOS), and 4G- β -D-galactosylsucrose (also known as Lacto sucrose).

Prebiotics has been known to contribute to weight loss and improved metabolic parameters in overweight or obese individuals(116). One recent randomized-controlled trial with 105 overweight and obese adults, divided into rice bran, rice husk powder or placebo groups, indicated that prebiotics could lead to a decrease in weight, BMI, waist circumference and pro-inflammatory markers (117). Cani et al. reported that 2-weeks intervention of prebiotics-supplemented diet in adults could increase the levels of GLP-1 and PYY, and decrease the ratings of the hunger assessed with visual analogue scales (VAS) (41); A randomized placebo-controlled study showed that intake of inulin for 8 weeks seemed to modulate inflammation and metabolic endotoxemia in women with type 2 diabetes (118). In a one-month randomized placebo-controlled trial, de Luis et al showed that prebiotics (Inulin and FOS) together with alpha linolenic acid (ALA), the metabolic precursor of the long chain n-3 fatty acid eicosapentaenoic acid (20: 5n-3) that has anti-inflammatory properties, could improve total cholesterol, LDL cholesterol and C reactive protein levels in obese men(119). However, inconsistent effects of prebiotics were also observed (120).

The beneficial effects of prebiotics against obesity and metabolic dysfunction may be through different mechanisms. One popular hypothesis is that prebiotic supplements increase the growth of specific gut microbiota, for example, *Lactobacillus* and *Bifidobacterium*, but not pathogenic microorganisms (121). These changes might be related to better enteroendocrine cell activity, glucose homeostasis, and leptin sensitivity in obese and diabetic mice treated with prebiotics (122). Supplementation with α -cyclo-dextrins also increases lactic acid and SCFAs levels in obese mice, which is likely associated with lipid metabolism and appetite (123). Besides, the increased intestinal permeability and microbial dysbiosis in the high fat diet in mice could be prevented with an addition of prebiotics, which may be related to a beneficial effect on weight gain (124).

Only recently, a few studies have focused on the effectiveness and safety of prebiotic modulation on anti-psychotic-induced weight gain and metabolic dysfunction. Kao et al. (125) conducted a study in which adult female Sprague-Dawley rats were administered a Bimuno™ galacto-oligosaccharide (B-GOS, 0.5 g/kg/day) or water for 21 days while receiving an intraperitoneal injection of olanzapine daily on day 8 through 21. The use of B-GOS significantly attenuated olanzapine-induced weight gain, followed by a decrease in the plasma acetate concentrations, and elevation of fecal *Bifidobacterium* but reduction in bacteria in phylum *Firmicutes*. Kao et al (126) further found that the prebiotic reduction of brain histone deacetylase (HDAC) activity and OIWG in rats was independent of acetate major short-chain fatty acid (SCFA) that is produced by G-BOS

fermentation. Later, Kao et al. published a letter based on a double-blind placebo-controlled crossover study of thirty-nine non-hospitalized participants randomized to B-GOS or placebo group, in which after 24 weeks trial, the treatment group showed a significant increase in the cognitive composite T-score, which was driven by subtests of executive function. However, supplementation of B-GOS did not affect weight, BMI, central adiposity, circulating candidate metabolic or immune markers (127).

In addition, a clinical study of 16 Japanese in-patients with chronic schizophrenia suggested that Lactosucrose as a prebiotic could improve underweight accompanied by increased *Bifidobacterium* in fecal microbiota (128). However, there was a report showing no difference in body weight before and after a supplementation of resistant starch in individuals with schizophrenia (129). Further studies with adequate sample size are required to determine the potential effects of prebiotics on antipsychotic-induced weight gain and metabolic dysfunctions.

Synbiotics

Synbiotics are defined as "mixtures of probiotics and prebiotics that beneficially affect the host by improving the survival and implantation of live microbial dietary supplements in the gastrointestinal tract of the host." (130) Soon after the concept of prebiotics was introduced, investigators speculated the potential benefits of combining prebiotics with probiotics. This combination was then called synbiotics (131). Although there are very few reported clinical trials of synbiotics, the limited results seem to suggest a beneficial effect on glucose and insulin metabolism (132). As far as we know, currently there is no research about the potential effect of synbiotics on anti-psychotic-induced weight gain or metabolic dysfunction.

Since recent studies have indicated that gut microbiota play an important role in an individuals' health and risk of diseases, especially metabolic dysfunction and obesity (133), it would be of interest to discover new therapeutics to treat or prevent obesity and related metabolic disorders by a modulation of the gut microbiota to mimic that found in healthy non-obese individuals. So far there have been a few published animal models (Table 2) and clinical trials (Table 3) on prebiotic and probiotic supplements in individuals with schizophrenia.

CONCLUSION AND FUTURE DIRECTIONS

In this paper, we provide a summary of the recent studies of the gut microbiota in psychiatric disorders and anti-psychotic-induced metabolic dysfunction. Bidirectional communication between the brain and the gut has recently been recognized. Patients with neuropsychiatric disorders such as schizophrenia, bipolar disorder, ASD, and major depressive disorder have different patterns of altered gut microbiota composition compared with healthy controls. It is critical to determine the role and elucidate possible mechanism by which microbiota influences the development of neuropsychiatric disorders, with more advanced study designs and adequate power. The development in sequencing technology and bioinformatics could allow us to conduct an in-depth investigation of brain and the gut inter-

relationship.

While the mechanism has yet to be elucidated, interventional study would help assess the potential benefit and safety of prebiotics and probiotics and provide causal evidence if efficacy is observed. Studies have shown effects of prebiotics or probiotics on obesity or metabolic disturbance, but few have examined the impact of probiotics in antipsychotic-induced weight gain and metabolic dysfunction. In addition, understanding the mechanism of any effective responses could help discover novel therapeutics.

CONFLICT OF INTEREST

The author declares that there is no conflict of interest regarding the publication of this paper.

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Table 2. Animal studies of prebiotic or probiotic supplements and antipsychotics

Author	Species	N	Intervention	Result	Conclusion
Dhaliwal N,(113), 2019	Female Swiss albino LACA mice	20	Randomized into four groups: -Control -Olanzapine+VSL#3 -Olanzapine -VSL#3 Olanzapine (3 mg/kg, p.o) once daily for 28 days. Probiotic mixture VSL#3 (20*10 ⁹ CFU/day, p.o) once daily for 28 days.	VSL#3 administration attenuate OLZ-induced body weight gain, uterine fat deposition, impaired glucose tolerance, and insulin resistance. Olanzapine treatment decreased inflammatory markers, abolished oxidative stress in vWAT, and prevented shifts in gut microbiota abundance levels	VSL#3 via its ability to manipulate gut microbiome confers beneficial metabolic effects and represent a novel therapeutics for reversing antipsychotic-induced metabolic dysfunction
Kao A.C (125), 2018	Female adult Sprague-Dawley rats	24	Randomized into four groups: -saline/water, -B-GOS®/saline, -water/ olanzapine, -B-GOS®/olanzapine. rats were given water or water plus B-GOS® (0.5 g/kg/day) for 1 week, followed by a 2-week, daily intraperitoneal injection of olanzapine (10 mg/kg) or saline, during which water or B-GOS® administration continued.	B-GOS® feeding attenuated olanzapine-induced weight gain without influencing the reduction of central 5-HT _{2A} R levels. Olanzapine increased levels of GluN1 in the frontal cortex and GluN1 mRNA expression in CA3 with the presence of B-GOS®, Olanzapine alone or with B-GOS® did not affect the abundance of some specific genera of enteric bacteria, while B-GOS® alone had some notable effects.	B-GOS® reduced weight gain when adjunctively with second-generation antipsychotic drugs, without affecting their central molecular actions.
Kao A.C (126), 2019	Female adult Sprague-Dawley rats, 220-250g (6-8weeks),	48	Randomized to four groups: -saline/water (n=6), -acetate/saline (n= 6), -water/olanzapine (n = 6), -acetate/olanzapine (n = 6) Sodium acetate was administered to rats via their drinking water at a dose of 500mg/kg/day; Olanzapine (10 mg/kg) was intraperitoneal injected daily.	Ingestion of sodium acetate inhibited HAT activity in brain, and increased hippocampal levels of HDAC-3 and HDAC-4 mRNAs. Acetate administration did not affect olanzapine-mediated weight gain, alter the expression of NMDAR subunits, or influence abundance of fecal microbial genera.	B-GOS®-mediated benefits on central and peripheral physiology are not entirely, if at all, mediated by acetate produced from fermentation of B-GOS.

Table 3. Clinical trials of probiotic or prebiotic supplements in patients with Schizophrenia

Author, Year	Design	Subjects	Intervention	Control	Results	Conclusions
Okubo R (110), 2018	Open-label single-arm study	N=30, outpatient with schizophrenia, BPRS anxiety and depressive symptoms ≥ 10 points, aged >20 years	Probiotics: 2 sachets of B. breve A-1 at 5.0×10^{10} colony-forming units each per day for first 4 and evaluated 4 weeks after.	No control	HADS was improved at 4 weeks but not at 8 weeks, PANSS anxiety/depression score was improved at both 4 and 8 weeks.	B. breve A-1 improves anxiety and depressive symptoms in patients with schizophrenia, which is related to TRANCE and IL-22.
Nagamine T (128), 2018	Open-label single-arm study	N=16, underweight Japanese schizophrenia inpatients	Prebiotics: 3.0 g/day 4G- β -D-galactosylsucrose as a food supplement for 6 months.	No control	Bodyweight and BMI Blood glucose or triglyceride level	4G- β -Dgalactosylsucrose had a weight gain effect in underweight schizophrenia inpatients accompanied by a bifidobacteria-enhancing result.
Kao KC (127), 2019	Randomized double-blind placebo-controlled crossover trial	N=39, outpatient with psychosis on stable antipsychotic medication, global cognitive score was 0.5 standard deviations below healthy average	Prebiotics: One sachet (3.5g) of galactooligosaccharides (B-GOS®) daily during breakfast for 12 weeks	Maltodextrin (3.5g) daily during breakfast for 12 weeks	Composite T-score (Cohen's $d=0.443$), Subtests of executive function, mood, anthropometric indices or serum levels of acetate, CRP and IL6	Consumption of the prebiotic B-GOS® confers significant cognitive benefits but did not affect weight, BMI, central adiposity or circulating candidate metabolic/immune markers
Dickerson FB (52), 2014	Randomized double-blind placebo-controlled trial	N=65, outpatients with schizophrenia with at least moderately severe psychotic symptoms	Probiotics: 2-week placebo run-in period, 14 weeks adjunctive probiotic tablet supplement once per day	Control tablets identical in appearance for once per day	No significant difference in PANSS total symptom score	Probiotic supplementation may help prevent a common somatic symptom associated with schizophrenia
Severance EG (111), 2017	Randomized, placebo-controlled pilot study	N=56, outpatients with schizophrenia with at least moderately severe psychotic symptoms	Probiotics: 2 weeks placebo run-in period, and 14 weeks adjunctive probiotic tablet supplement once per day	Control tablets identical in appearance for once per day	C. albicans IgG levels reduced in male schizophrenia with probiotics, improved bowel function	Administration of probiotics may help normalize C. albicans antibody levels and associated gut discomfort in male individuals
Ghaderi A (48), 2019	Randomized, double-blind, placebo-controlled trial	N=60, schizophrenia PANSS score 55 or higher, treated with chlorpromazine and anticholinergic	Probiotics: 50,000 IU of vitamin D3 every 2 weeks plus 8×10^9 CFU/day of probiotics for 12 weeks	Capsules in a similar shape and packaging as vitamin D and probiotics for 12 weeks	25-OH-vitamin D levels, PANSS scores; Plasma TAC and decreased MDA; FPG, serum insulin concentrations, HOMA-IR, triglycerides, cholesterol, HDL	Probiotic and vitamin D for 12 weeks had impacts effects on the general and total PANSS scores, as well as other metabolic profiles.
Tomasik J (112), 2015	Randomized double-blind placebo-controlled trial	N=65, outpatients with schizophrenia at least moderately severe psychotic symptoms	Probiotics: 2 weeks placebo run-in period, and 14 weeks adjunctive probiotic supplement daily	Control tablets identical in appearance for once per day	levels of MCP-1, BDNF, T-cell-specific protein RANTES	Probiotics have immunomodulatory effects in schizophrenia patients and improve bowel functioning through IL-17-related immune responses.
Flowers SA (129), 2019	Cross-sectional cohort study	N=37, adults with a diagnosis of bipolar disorder or schizophrenia who were treated with an AAP or lithium and/or lamotrigine	Prebiotics: raw, unmodified potato starch (resistant starch) daily (48 g/day)	No control	Actinobacteria phylum increased with resistant starch administration. Increase in the OTU corresponding to resistant starch degrading. Inverse Simpson Diversity Index.	Resistant starch supplements increased organisms associated with starch degradation and SCFA.

HADS, Hospital Anxiety and Depression Scale.

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Introduction to the editors

Editor-in-Chief

Claude Hughes, MD, Ph.D. holds current Board Certifications in Obstetrics and Gynecology and Reproductive Endocrinology and Infertility from the American Board of Obstetrics and Gynecology. Since joining Quintiles /IQVIA in 2001. Dr. Hughes has served as a Medical Advisor on clinical trials or in due diligence assessment teams that evaluated pharmaceuticals, devices or tests for multiple medical indications. Before joining Quintiles, Dr. Hughes held academic, research, administrative and clinical practice positions for 15 years in divisions of reproductive endocrinology & infertility in departments of obstetrics & gynecology and clinical and research centers within university-affiliated medical centers. His leadership roles included Director of the Reproductive Hormone [hormone assay service] Lab at Duke University for ten years; Section Leader, Department of Comparative Medicine at Wake Forest University, Director of the Center for Women's Health at UCLA-Cedars Sinai Medical Center, and Vice President & Chief Medical Officer at RTI International.

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